Compliance / AML / Fintech

HKIB The Hong Kong Institute of Bankers | CERTIFIED BANKER

ASCEND Advancing Future Banking Capabilities

Technology and Data | Soft Skills | Banking Knowledge

Leveraging AI for a Holistic, End-to-End and Proactive Approach to Combating AML, Fraud and Other Financial Crimes

Programme Code:THRCP00P26111

12 November 2026 (Thursday)
7:00pm – 10:00pm

3 HOURS
HKIB CPD | SFC CPT | PWMA OPT

Programme Outline

As AI technology continues to advance rapidly, criminals are leveraging AI at scale to accelerate money laundering, evade sanctions, commit fraud, and run sophisticated scams. Because of this, the use of AI within a RegTech framework to combat financial crime is no longer a "nice to have". It has become a regulatory expectation and a business requirement.

This course is designed to equip learners with sufficient and practical knowledge to build an AI-driven, up-to-date, holistic, end-to-end FCC (Financial Crime Compliance) programme. Through a structured approach, learners will explore how to apply AI across the full financial crime lifecycle to combat AML, fraud, sanctions-related risks, and other financial crimes — with a focus on real-world implementation, model oversight, and measurable outcomes.

Key Learning Points:

1) **AI-enabled criminal typologies:** Explore current ways criminals use AI for AML, sanctions evasion, fraud, and scams—plus what this means for banks when threats evolve faster than legacy controls.

2) **End-to-end AI-driven FCC programme:** Learn how to implement AI across FCC: KYC/KYB, name screening, transaction monitoring, investigation support, STR preparation and decision-making.

3) **Data and analytics to improve detection:** Understand the data foundations (quality, identity resolution, features, feedback loops) and how to reduce false positives while improving alert prioritisation and performance.

4) **AI governance and model risk management:** Cover governance requirements for AI in FCC — validation, explainability, audit trails, monitoring/drift, and human oversight — so the programme stays audit-ready and regulatory-aligned.

(The programme features real-life case studies, equipping participants with valuable industry insights.)

Speaker Introduction

The speaker has held local and regional leadership roles at various international financial institutions, providing management oversight on compliance, AML/CFT, legal, conduct, and governance in relation to private banking, corporate banking, insurance and securities businesses. With over 20 years of experience, the speaker is able to provide a solution-oriented sharing and insight with real-world case examples to the audience in this seasonal and popular topic.

Target Audience

1. **Banking Practitioners**
2. **Compliance Officers, Risk Managers and Relationship Managers**
3. **HKIB Professional Qualification Holders (eligible for CPD Core Hours):**

CCOP(GC) CCOP(IIC) ACOP CAMLP AAMLP
CCRP(CL) CCRP(CPM) ACRP CRWP ARWP
CPFinT(M) CPFinT (S-AIBD) CPFinT (S-DLT) CPFinT (S-OBAPI) CPFinT (S-RT) CPFinT(A)
CORP AORP ACsP

Programme Delivery

Virtual Classroom (Zoom) FLEX LEARNING
Cantonese

Application DEADLINE

5 November 2026 (Thursday)

APPLY NOW!

Enquiry : (852) 2153 7800
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https://hkib.org/page/284

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Price	HKD990	HKD1,200	HKD1,320